



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Jen-Chau Wu et al.

5 Examiner: PHAM, LONG Art Unit: 2814

Serial No.: 10/791,709 Filing Date: 03/04/2004

Docket No.: UECPO002USA

10 Title: ESD Protection Configuration and Method for Light
Emitting Diodes

To: Deposit Account Branch
Commissioner for Patents
P.O. Box 1450
15 Alexandria VA 22313-1450

Subject: Request for Deduction

20 Dear Sir,

The applicant filed a Request to supplement the deficiency
of the basic filing fee and the excess claim fee pursuant to
37 CFR 1.27 (C) on 02/03/2005 (Attachment 1) and authorized
the USPTO to deduct the balance \$455.00 from the Deposit
25 Account No. 50-3105 (Attachment 2). The applicant recently
found that the USPTO did not charged any fee so far.

Please deduct the balance \$455.00 from the Deposit Account
No. 50-3105 as soon as possible. Your quick response will be
30 appreciated.

Sincerely yours,

Winston Hsu

Date: 04/13/2006

5 Winston Hsu, Patent Agent No. 41,526
P.O. BOX 506, Merrifield, VA 22116, U.S.A.
Voice Mail: 302-729-1562
Facsimile: 806-498-6673
e-mail : winstonhsu@naipo.com

10 Note: Please leave a message in my voice mail if you need to
talk to me. (The time in D.C. is 12 hours behind the Taiwan
time, i.e. 9 AM in D.C. = 9 PM in Taiwan.)



Attachment 1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Jen-Chau Wu Examiner : Unknown
Filing Date: 03/04/2004 Art Unit : Unknown
App. No. : 10/791,709 Docket No.: UECPO012USA

Title: ESD PROTECTION CONFIGURATION AND METHOD FOR LIGHT
 EMITTING DIODES

To: Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

Subject: Request to supplement the deficiency of the basic
 filing fee and excessive claim fee pursuant to
 37 CFR 1.27(c)

Dear Sir:

The fee \$495.00 (\$385- Filing fee; \$70- Excessive claim fee; \$40- Assignment Recordation Fee) of the above-identified application was paid on 3/4/2004. But the entity status of the assignee was mistakenly selected as a small entity on the fee transmittal. The entity status should be a large entity. And the undersigned agent filed Notification of loss of entitlement to small entity status according to 37 CFR 1.27 (g) (2) on 2/3/2005.

The undersigned agent hereby requests to supplement the deficiency of the filing fee and the excessive claim fee pursuant

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to 37 CFR 1.27(c). Please deduct the balance \$455.00 from the deposit account 50-3015. Your assistance is highly appreciated.

Respectfully submitted,

Winston Hsu

Date: FEB 03 2005

Winston Hsu, Patent Agent No. 41,526

P.O. BOX 506, Merrifield, VA 22116, U.S.A.

Voice Mail: 302-729-1562

Facsimile: 806-498-6673

e-mail : winstonhsu@naipo.com

Note: Please leave a message in my voice mail if you need to talk to me. The time difference between D.C. and Taiwan is 13 hours. The preferred time period for telephone conversation is 7 AM (or earlier) - 11 AM, D.C. time.

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Attachment 2

PTO/SB/17 (12-04)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Effective on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL For FY 2005

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 455.00)

Complete if Known

Application Number 10/791,709
Filing Date 03/04/2004
First Named Inventor Jen-Chau Wu
Examiner Name Unknown
Art Unit Unknown
Attorney Docket No. UECP0012USA

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify):
☒ Deposit Account Deposit Account Number: 50-3105 Deposit Account Name: North America Intellectual Property Corp.

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee
☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION

BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent	50	25
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent	200	100
Multiple dependent claims	360	180

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	Multiple Dependent Claims	Fee (\$)	Fee Paid (\$)
- 20 or HP =	x	=				
HP = highest number of total claims paid for, if greater than 20						
Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)			
- 3 or HP =	x	=				
HP = highest number of independent claims paid for, if greater than 3						

APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	/ 50 =	(round up to a whole number) x	=	

OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other: Supplement the deficit of the issue fee

455.00

SUBMITTED BY

Signature: *Winston Hsu* Registration No. 41,526 Telephone 302-729-1562
Name (Print/Type): Winston Hsu Date FEB 03 2005

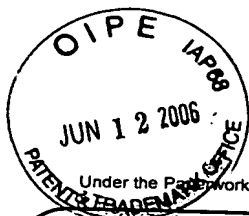
Collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the PTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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04/14 723	29246462	HTCP0019USA	1012	\$200.00	\$28,417.00
04/14 724	29246462	HTCP0019USA	1112	\$100.00	\$28,317.00
04/14 725	29246462	HTCP0019USA	1312	\$130.00	\$28,187.00
04/14 726	11279754	NAUP0769USA	1011	\$300.00	\$27,887.00
04/14 727	11279754	NAUP0769USA	1111	\$500.00	\$27,387.00
04/14 728	11279754	NAUP0769USA	1311	\$200.00	\$27,187.00
04/14 729	11279754	NAUP0769USA	1202	\$100.00	\$27,087.00
04/17 24	10351195	MTKP0107USA	1251	\$120.00	\$26,967.00
04/17 44	10708354	ADTP0092USA	1501	\$1,400.00	\$25,567.00
04/17 45	10708354	ADTP0092USA	1504	\$300.00	\$25,267.00
04/17 46	10248110	MTKP0008USA	1501	\$1,400.00	\$23,867.00
04/17 47	10248110	MTKP0008USA	1504	\$300.00	\$23,567.00
04/17 48	10708765	VIAP0092USA	1501	\$1,400.00	\$22,167.00
04/17 49	10708765	VIAP0092USA	1504	\$300.00	\$21,867.00
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04/17 56	11307661	MTKP0363USA	8007	\$40.00	\$21,787.00
04/17 58	11306039	MTKP0298USA	8007	\$40.00	\$21,747.00
04/17 61	11164959	MTKP0296USA	8007	\$40.00	\$21,707.00
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04/17 78	11308645	FTLP0113USA	8021	\$40.00	\$21,367.00
04/17 79	6493216	ARMP0001USA	1551	\$900.00	\$20,467.00
04/17 79	11308645	FTLP0113USA	1311	\$200.00	\$20,267.00
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04/17 159	11279558	AMIP0036USA	8021	\$40.00	\$19,727.00
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04/17 161	11279900	AUOP0083USA	8021	\$40.00	\$19,647.00
04/17 162	11279562	MTKP0408USA	8021	\$40.00	\$19,607.00
04/17 163	11279560	ACMP0280USA	8021	\$40.00	\$19,567.00
04/17 589	10904516	ADTP0054USA1	1501	\$1,400.00	\$18,167.00
04/17 590	10904516	ADTP0054USA1	1504	\$300.00	\$17,867.00
04/17 595	11279900	AUOP0083USA	1011	\$300.00	\$17,567.00
04/17 596	11279900	AUOP0083USA	1111	\$500.00	\$17,067.00
04/17 597	11279900	AUOP0083USA	1311	\$200.00	\$16,867.00
04/17 598	11279900	AUOP0083USA	1202	\$100.00	\$16,767.00
04/18 8	PAYMENT		9203	-\$32,055.00	\$48,822.00
04/18 9	11308649	HHIP0146USA	1011	\$300.00	\$48,522.00
04/18 10	11308649	HHIP0146USA	8021	\$40.00	\$48,482.00
04/18 11	11308649	HHIP0146USA	1311	\$200.00	\$48,282.00
04/18 12	11308649	HHIP0146USA	1111	\$500.00	\$47,782.00
04/18 13	11308650	HHIP0143USA	1011	\$300.00	\$47,482.00
04/18 14	11308650	HHIP0143USA	1202	\$50.00	\$47,432.00
04/18 15	11308650	HHIP0143USA	8021	\$40.00	\$47,392.00
04/18 16	11308650	HHIP0143USA	1311	\$200.00	\$47,192.00
04/18 17	11308650	HHIP0143USA	1111	\$500.00	\$46,692.00
04/18 23	10791709	3232-10	1201	\$80.00	\$46,612.00
04/18 25	10791709	3232-10	1202	\$150.00	\$46,462.00
04/18 80	11307958	REAP0276USA	8007	\$20.00	\$46,442.00
04/18 81	11307955	REAP0303USA	8007	\$20.00	\$46,422.00
04/18 154	11161958	14462-US-PA	1501	\$1,400.00	\$45,022.00
04/18 155	11161958	14462-US-PA	1504	\$300.00	\$44,722.00
04/18 156	10290316	TOP 254	1501	\$1,400.00	\$43,322.00

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PTO/SB/21 (09-04);

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

10

Application Number

10/791,709

Filing Date

03/04/2004

First Named Inventor

Jen-Chau Wu

Art Unit

2814

Examiner Name

PHAM, LONG

Attorney Docket Number

UECP0012USA

ENCLOSURES

(Check all that apply)



Fee Transmittal Form



Fee Attached



Amendment/Reply



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority Document(s)

Reply to Missing Parts/
Incomplete ApplicationReply to Missing Parts
under 37 CFR 1.52 or 1.53

Drawing(s)



Licensing-related Papers



Petition

Petition to Convert to a
Provisional ApplicationPower of Attorney, Revocation
Change of Correspondence Address

Terminal Disclaimer



Request for Refund



CD, Number of CD(s) _____



Landscape Table on CD



After Allowance Communication to TC

Appeal Communication to Board
of Appeals and InterferencesAppeal Communication to TC
(Appeal Notice, Brief, Reply Brief)

Proprietary Information



Status Letter

Other Enclosure(s) (please identify
below):1. A copy of the request for
deduction submitted on
04/13/20062. A copy of the deposit account
statement

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

North America Intellectual Property Corporation

Signature

Winston Hsu

Printed name

Winston Hsu

Date

JUN 09 2006

Reg. No.

41,526

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature

Stephanie Lai

Typed or printed name

Stephanie Lai

Date

JUN 09 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Attachment 1

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL
FORM**

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Application Number

10/791,709

Filing Date

03/04/2004

First Named Inventor

Jen-Chau Wu

Art Unit

2814

Examiner Name

PHAM, LONG

Attorney Docket Number

UECP0012USA

ENCLOSURES (Check all that apply)

Fee Transmittal Form



Fee Attached



Amendment/Reply



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority Document(s)

Reply to Missing Parts/
Incomplete ApplicationReply to Missing Parts
under 37 CFR 1.52 or 1.53

Drawing(s)



Licensing-related Papers



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Provisional ApplicationPower of Attorney, Revocation
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Terminal Disclaimer



Request for Refund



CD, Number of CD(s) _____



Landscape Table on CD



After Allowance Communication to TC

Appeal Communication to Board
of Appeals and InterferencesAppeal Communication to TC
(Appeal Notice, Brief, Reply Brief)

Proprietary Information



Status Letter

Other Enclosure(s) (please identify
below):

1. A copy of the request letter
submitted on 02/03/2005 (2 pages)
2. A copy of FEE TRANSMITTAL
submitted on 02/03/2005

Remarks

Request for Deduction

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

North America Intellectual Property Corporation

Signature

Winston Hsu

Printed name

Winston Hsu

Date

4/13/2006

Reg. No.

41,526

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature

Janice Chen

Typed or printed name

Janice Chen

Date

4/13/2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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